

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	104-26964 (9010RF-045396)
First Inventor	Dan L. Adams
Title	BIOCIDE IMPREGNATION OF COATINGS FOR ESP COMPONENTS
Express Mail Label No.	EV342413261US

00727 U.S. PTO
10/687476

ADDRESS TO: Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form PTO/SB/17 (in duplicate)
2. ☐ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification [Total Pages **13**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to a sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **3**]
5. ☒ Oath or Declaration [Total Sheets **2**]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 19 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76.
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
11. ☒ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ Information Disclosure Statement (IDS)/PTO-1449
_____ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (Itemized)
16. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
17. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
18. ☒ Other: Transmittal Letter

19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. **10/016,393**
Prior Application Information: Examiner: **Jennifer H. Gay** Group Art Unit: **3672**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

20. CORRESPONDENCE ADDRESS

Direct all correspondence to:

☐ Customer Number or Bar Code Label:

<input checked="" type="checkbox"/> Firm Name	BRACEWELL & PATTERSON, L.L.P.				
Address	Attention: James E. Bradley				
Address	P. O. Box 61389				
City	Houston	State	Texas	ZIP	77208-1389
Country	United States	Telephone	(713) 221-3301	Fax	(713) 222-3287
Name (Print/Type)	James E. Bradley			Registration No.	27,536
Signature	<i>James E. Bradley</i>			Date	October 16, 2003

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770.00)

METHOD OF PAYMENT (check all that apply)

☐ Check Enclosed

☒ The Director is authorized to charge fee(s) indicated below, charge any additional fee(s) or any underpayment of fees, and credit any overpayments to:
DEPOSIT ACCOUNT NO.: 02-0249 (104-26964)

Deposit Account Name:

BAKER HUGHES INCORPORATED

FEE CALCULATION

1. Basic Filing Fee

Large Fee Code	Large Entity Fee (\$)	Small Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1001	\$770	2001	\$385	Utility Filing Fee	\$ 770.00
1002	\$340	2002	\$170	Design Filing Fee	\$
1003	\$530	2003	\$265	Plant Filing Fee	\$
1004	\$770	2004	\$385	Reissue Filing Fee	\$
1005	\$160	2005	\$ 80	Provisional Filing Fee	\$
Subtotal (1)					\$ 770.00

2. Extra Claim Fees for Utility and Reissue

Claims	Extra	Fee (below)	Fee Paid
Total	18-20** =	0 x	\$ = \$
Indep.	3- 3** =	0 x	\$ = \$
Multiple Dependent			\$

**or number previously paid, if greater
For Reissues, see below

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
\$ 18	\$ 9	Claims in excess of 20
\$ 86	\$ 43	Independent claims in excess of 3
\$290	\$145	Multiple dependent claim, if not paid
\$ 86	\$ 43	**Reissue independent claims over original patent
\$ 18	\$ 9	**Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ -0-

Complete if Known

Application Number	Divisional of 10/016,393
Filing Date	10/16/2003
First Named Inventor	Dan L. Adams
Examiner Name	Jennifer H. Gay
Art Unit	3672
Attorney Docket No.	104-26964 (9010RF-045396)

FEE CALCULATION (continued)

3. Additional Fees

Large Fee Code	Large Entity	Small Fee Code	Small Entity	Fee Description	Fee Paid
1051	\$ 130	2051	\$ 65	Surcharge - late filing fee or oath	\$
1052	\$ 50	2052	\$ 25	Surcharge - late provisional filing fee or cover sheet	\$
1053	\$ 130	1053	\$ 130	Non-English specification	\$
1812	\$2,520	1812	\$2,520	For filing a request for ex parte reexamination	\$
1804	\$ 920*	1804	\$ 920*	Requesting publication of SIR prior to Examiner action	\$
1805	\$1,840*	1805	\$1,840*	Requesting publication of SIR after Examiner action	\$
1251	\$ 110	2251	\$ 55	Extension for reply within first month	\$
1252	\$ 420	2252	\$ 210	Extension for reply within second month	\$
1253	\$ 950	2253	\$ 475	Extension for reply within third month	\$
1254	\$1,480	2254	\$ 740	Extension for reply within fourth month	\$
1255	\$2,010	2255	\$1,005	Extension for reply within fifth month	\$
1401	\$ 330	2401	\$ 165	Notice of Appeal	\$
1402	\$ 330	2402	\$ 165	Filing a brief in support of an appeal	\$
1403	\$ 290	2403	\$ 145	Request for oral hearing	\$
1451	\$1,510	1451	\$1,510	Petition to institute a public use proceeding	\$
1452	\$ 110	2452	\$ 55	Petition to revive - unavoidable	\$
1453	\$1,330	2453	\$ 665	Petition to revive - unintentional	\$
1501	\$1,330	2501	\$ 665	Utility issue fee (or reissue)	\$
1502	\$ 480	2502	\$ 240	Design issue fee	\$
1503	\$ 640	2503	\$ 320	Plant issue fee	\$
1460	\$ 130	1460	\$ 130	Petitions to the Commissioner	\$
1807	\$ 50	1807	\$ 50	Processing fee under 37 CFR 1.17(q)	\$
1806	\$ 180	1806	\$ 180	Submission of Information Disclosure Statement	\$
8021	\$ 40	8021	\$ 40	Recording each patent assignment per property (times number of properties)	\$
1809	\$ 770	2809	\$ 385	Filing a submission after final rejection (37 CFR 1.129(a))	\$
1810	\$ 770	2810	\$ 385	For each additional invention to be examined (37 CFR 1.129(b))	\$
1801	\$ 770	2801	\$ 385	Request for Continued Examination (RCE)	\$
1802	\$ 900	1802	\$ 900	Request for expedited examination of a design application	\$

Other Fee (specify) _____

Other Fee (specify) _____

*Reduced by Basic Filing Fee Paid

Subtotal (3) \$ -0-

SUBMITTED BY

Date: Oct 16, 2003

James E. Bradley, Reg. No. 27,536

BRACEWELL & PATTERSON, L.L.P.

P. O. Box 61389, Houston, Texas 77208-1389

Telephone: (713) 221-3301 Facsimile: (713) 222-3287

statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

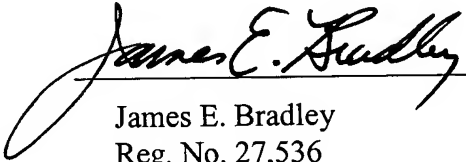
After cancellation of claims 1-14, a fee is due of \$770 for 3 independent claims and a total of 18 claims. The Commissioner is hereby authorized to charge the filing fee and any additional fees that may be required, or credit any overpayment to deposit account 02-0429 Baker Hughes Incorporated.

A Preliminary Amendment is enclosed.

Please address all future communications to James E. Bradley at the following address:

James E. Bradley
Bracewell & Patterson, L.L.P.
P.O. Box 61389
Houston, Texas 77208-1389
Tel: 713/221-3301
Fax: 713/222-3287

Date: Oct 16, 2003


James E. Bradley
Reg. No. 27,536

"EXPRESS MAIL" NO. EV342413261US

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: October 16, 2003

By: Terrie Lindquist



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of:	§	Docket No.: 104-26964
Dan L. Adams	§	(9010RF-045396)
Serial No: (Divisional of 10/016,393)	§	
Filed: 10/16/2003	§	Group Art Unit: 3672
For: Biocide Impregnation of Coatings	§	
for ESP Components	§	Examiner: Jennifer H. Gay

TRANSMITTAL LETTER

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

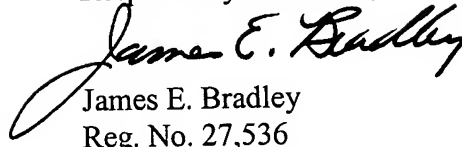
Sir:

Transmitted herewith for filing are the following documents:

1. Utility Patent Application Transmittal.
2. Fee Transmittal.
3. Request for Filing a Divisional Application Under 37 C.F.R. 1.78(a).
4. Preliminary Amendment.
5. Copy of prior application including declaration as originally filed.
3. Certificate of Express Mailing Under 37 CFR 1.10.
4. Our return postcard, which we would appreciate your date stamping and returning to us upon receipt.

I hereby authorize the Commissioner for Patents to charge any fees that may be required or credit any overpayment to Baker Hughes Deposit Account No. 02-0429 (Order No. 104-26964).

Respectfully submitted,



James E. Bradley

Reg. No. 27,536

Attorney for Applicant

Date: Oct 16, 2003
BRACEWELL & PATTERSON, L.L.P.
P. O. Box 61389
Houston, Texas 77208 1389
Tel.: (713) 221-3301
Fax: (713) 222-3287

"EXPRESS MAIL" NO. EV342413261US

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Date of Deposit: October 16, 2003

By: Terrie Lindquist



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of:

Dan L. Adams

Serial No: **Unknown**

Filed: **Herewith**

For: **Biocide Impregnation of Coatings
for ESP Components**

§ Docket No.: **104-26964D**

§

§

§

§ Group Art Unit: **Unknown**

§

§

§ Examiner: **Unknown**

REQUEST FOR FILING A DIVISIONAL APPLICATION UNDER 37 C.F.R. 1.78(a)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is a request for filing a divisional application under 37 C.F.R. 1.78(a) of pending prior application Serial No. 10/016,393, filed December 10, 2001, entitled **BIOCIDE IMPREGNATION OF COATINGS FOR ESP COMPONENTS**.

Enclosed is a copy of the inventor signed prior application, including the oath or declaration as originally filed. I hereby verify that the attached papers are a true copy of the inventor signed prior application Serial No. 10/016,393 as originally filed on December 10, 2001, and further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these

"EXPRESS MAIL" NO. EV342413261US

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: October 16, 2003

By: Terrie Lindquist



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of:

Dan L. Adams

Serial No: 10/016,393

Filed: 12/10/2001

For: **Biocide Impregnation of Coatings
for ESP Components**

§ Docket No.: 104-26964
§ (9010RF-045396)
§
§ Group Art Unit: 3672
§
§ Examiner: Jennifer H. Gay

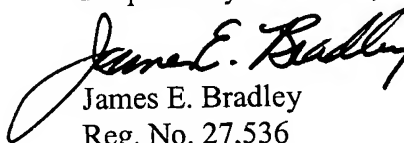
RESPONSE TO FINAL OFFICE ACTION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Final Office Action mailed July 23, 2003, Applicant hereby files a divisional application and abandons the above-referenced application once the divisional application receives a filing date. No fee is believed to be due. However, if Applicant is mistaken, the Commissioner is hereby authorized to charge any fees that may be required, or credit any overpayment to Baker Hughes Incorporated, Deposit Account No. 02-0249 (104-26964).

Respectfully submitted,



James E. Bradley

Reg. No. 27,536

Attorney for Applicant

Date: Oct 16, 2003
BRACEWELL & PATTERSON, L.L.P.
P. O. Box 61389
Houston, Texas 77208 1389
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